



# On Uniformly Sampling Traces of a Transition System

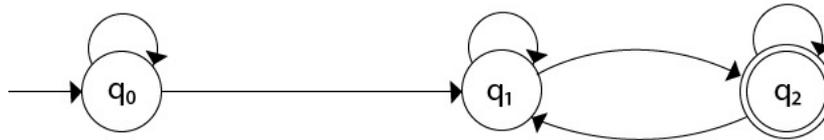
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Moshe Y. Vardi

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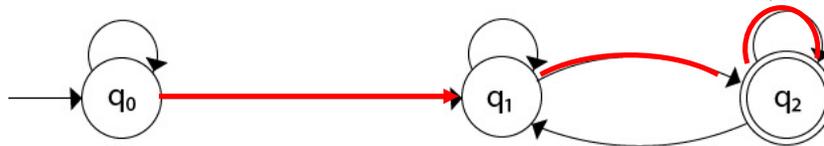
# Uniform Trace Sampling

- **Given:** State Transition Graph,  $n \in \mathbb{N}$



- **Decision:** Is there a path of length 'n' from initial state to final state?

- $n=3$



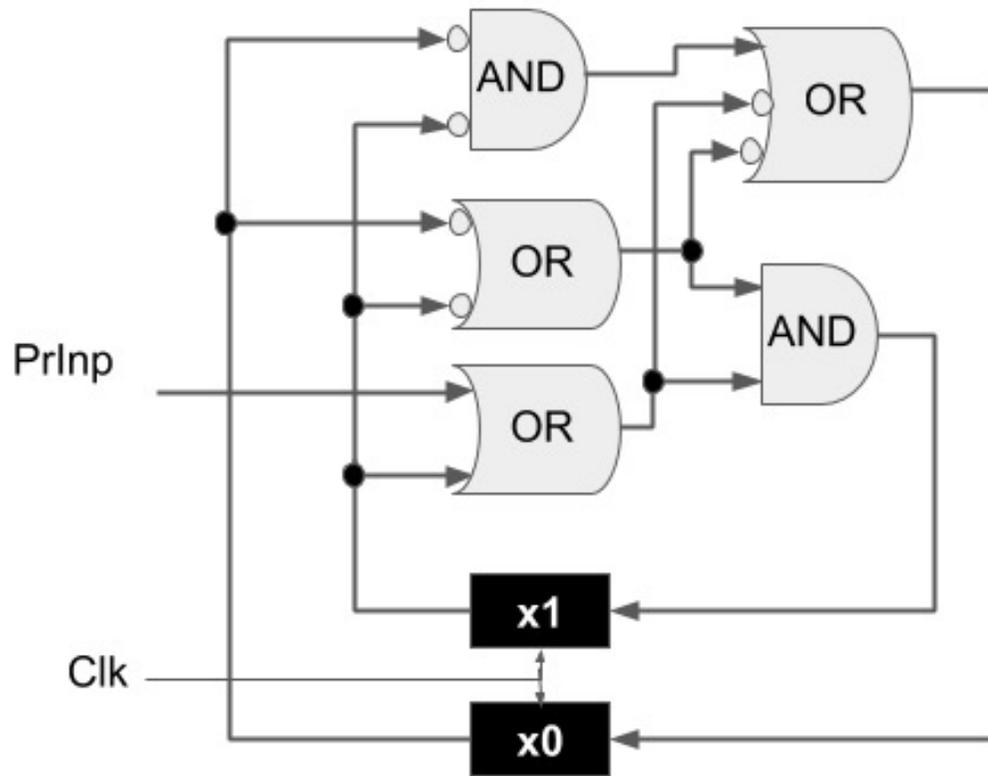
- **Counting:** How many paths of length 'n'?

- 3 paths of length 3

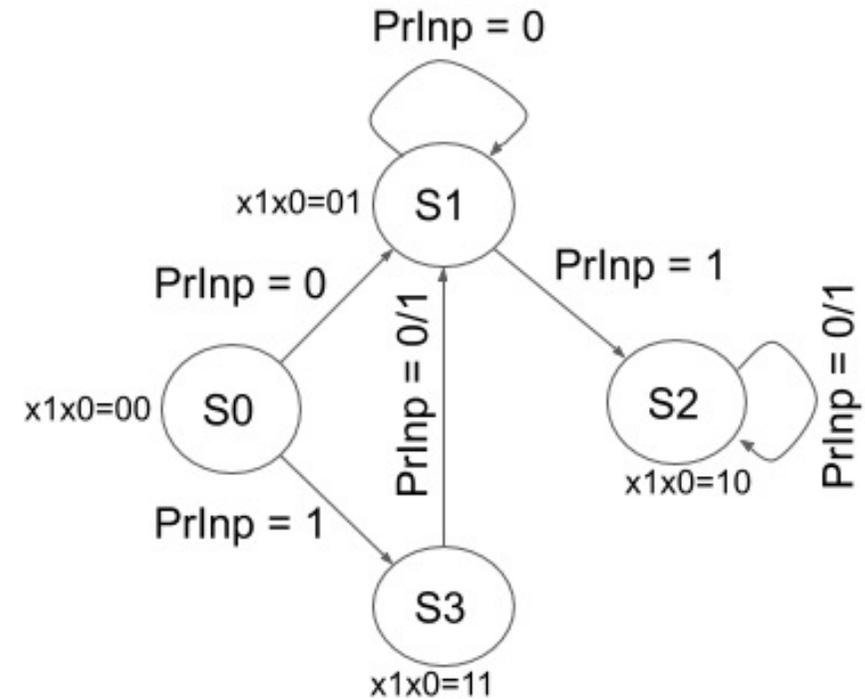
- **Sampling:** Generate a random path of length 3 with  $\Pr = 1/3$

- **Applications:** Verification of Sequential Circuits

# Example: States, Traces and Uniformity

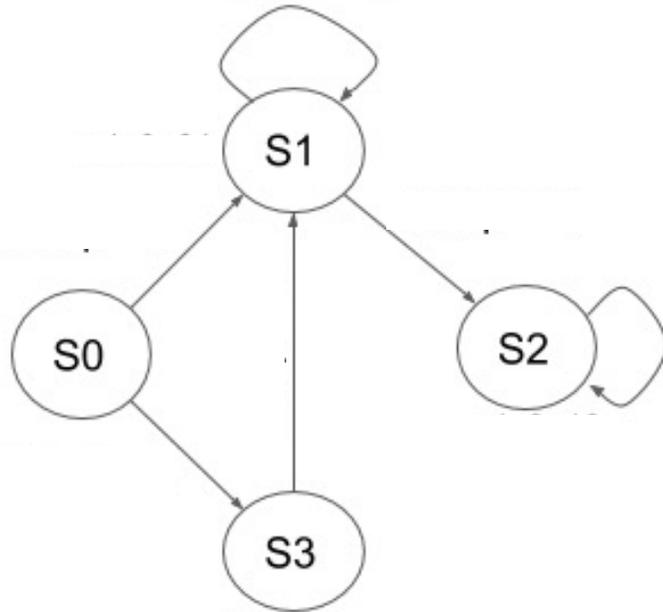


(a)



(b)

# Example: States, Traces and Uniformity



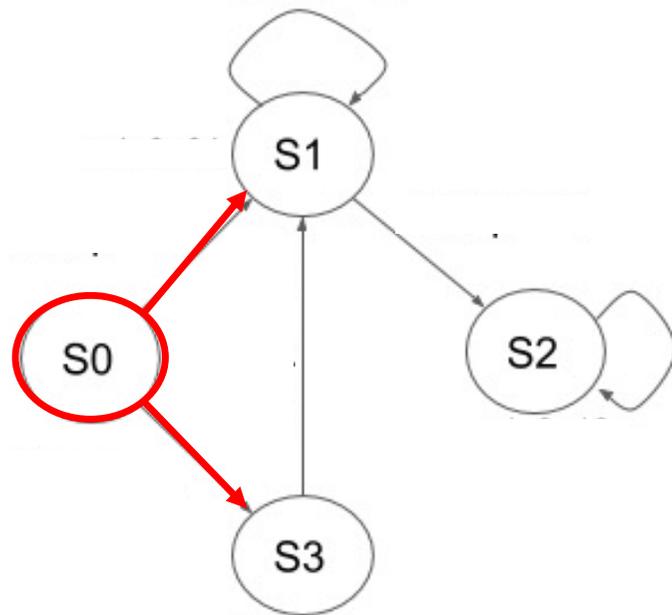
(b)

Traces with  $N = 4$  transitions (5 states):

1.  $S_0S_1S_1S_1S_1$
2.  $S_0S_1S_1S_1S_2$
3.  $S_0S_1S_1S_2S_2$
4.  $S_0S_1S_2S_2S_2$
5.  $S_0S_3S_1S_1S_1$
6.  $S_0S_3S_1S_1S_2$
7.  $S_0S_3S_1S_2S_2$

Uniformity: Sample each trace with probability  $1/7$

# Example: Insufficiency of Local Uniformity



(b)

Current State:  $S_0$

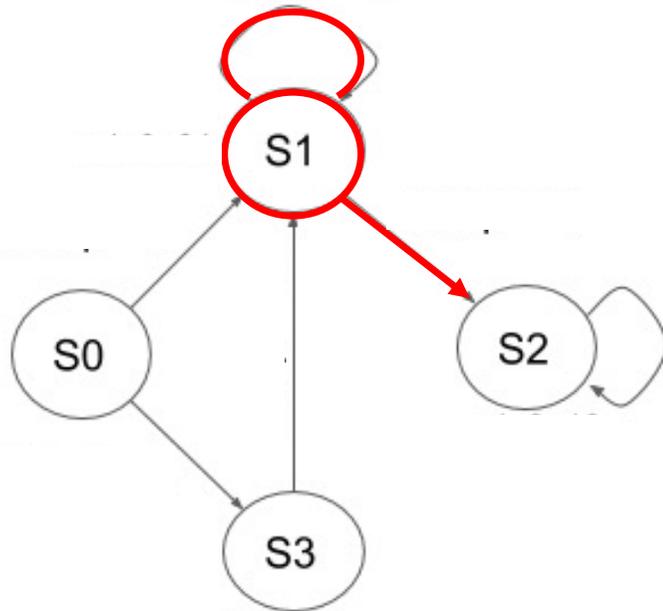
Trace:  $S_0$

Probability: 1

Next State Probabilities:

$S_3$	0.5
$S_1$	0.5

# Example: Insufficiency of Local Uniformity



(b)

Current State:  $S_0$

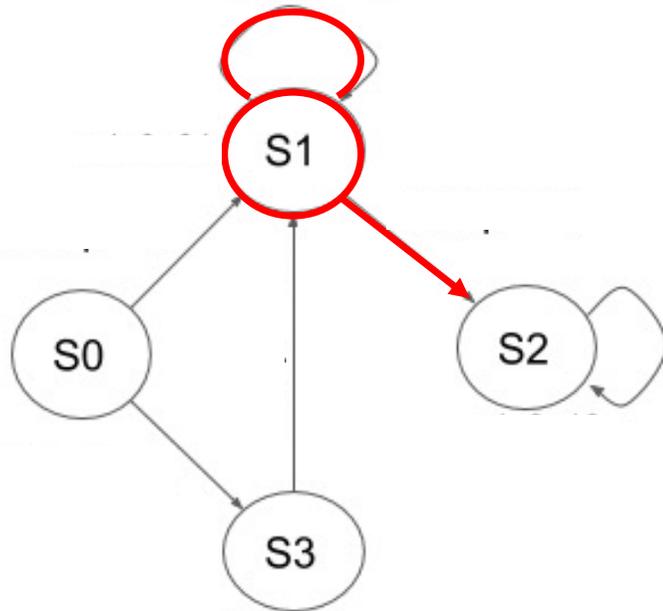
Trace:  $S_0 S_1$

Probability:  $1 * 0.5$

Next State Probabilities:

$S_2$	<b>0.5</b>
$S_1$	<b>0.5</b>

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(b)

Current State:  $S_0$

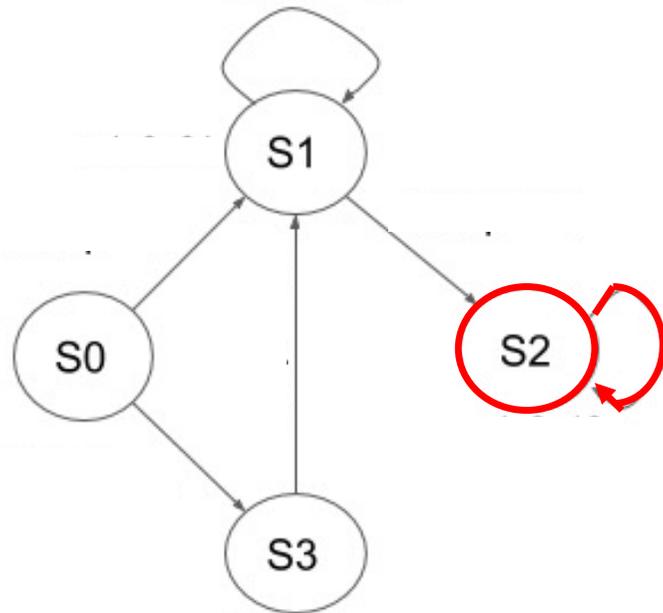
Trace:  $S_0 S_1 S_1$

Probability:  $1 * 0.5 * 0.5$

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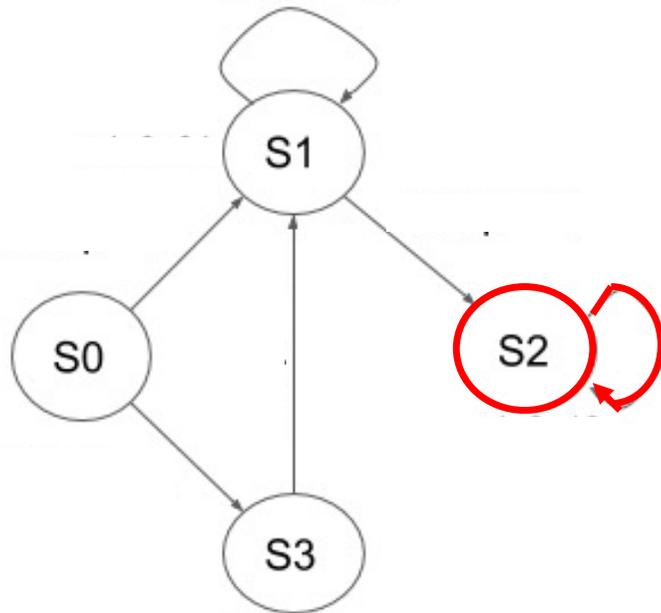
Trace:  $S_0 S_1 S_1 S_2$

Probability:  $1 * 0.5 * 0.5 * 0.5$

Next State Probabilities:

$S_2$	<b>1</b>

# Example: Insufficiency of Local Uniformity



(b)

Current State:  $S_0$

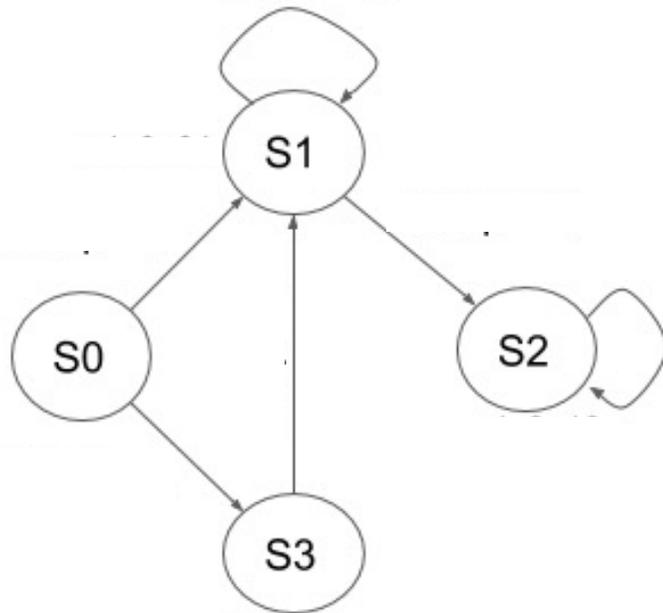
Trace:  $S_0 S_1 S_1 S_2 S_2$

Probability:  $1 * 0.5 * 0.5 * 0.5 * 1 = 0.125$

Next State Probabilities:

$S_2$	<b>1</b>

# Example: Insufficiency of Local Uniformity



(b)

Current State:  $S_0$

Trace:  $S_0 S_1 S_1 S_2 S_2$

Probability:  $1 * 0.5 * 0.5 * 0.5 * 1 = 0.125$

**Fact:**  $\Pr = 1/7$  not possible for **any** assignment of local probabilities

# Existing Approaches

## 1. CNF-Sampling

- SAT solvers are best tools for reachability (decision problem)
- Surprisingly CNF Samplers fail to scale
  - Top-Down approach cannot exploit structure in underlying graph
  - Inadequate for global reasoning

## 2. Adjacency Matrix Squaring

$$A = \begin{bmatrix} 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

#Paths of Length 1

$$A^2 = \begin{bmatrix} 2 & 1 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 3 & 1 \\ 1 & 1 & 1 & 2 \end{bmatrix}$$

#Paths of Length 2

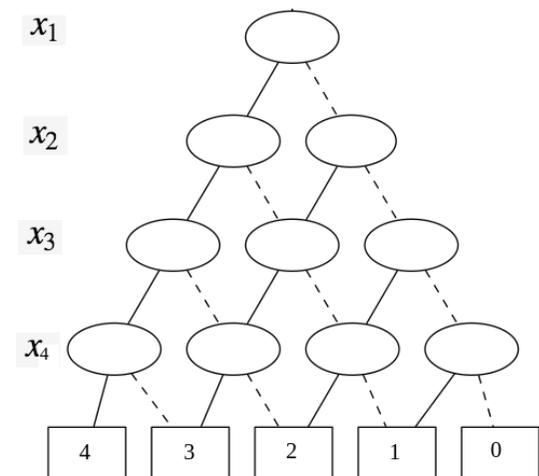
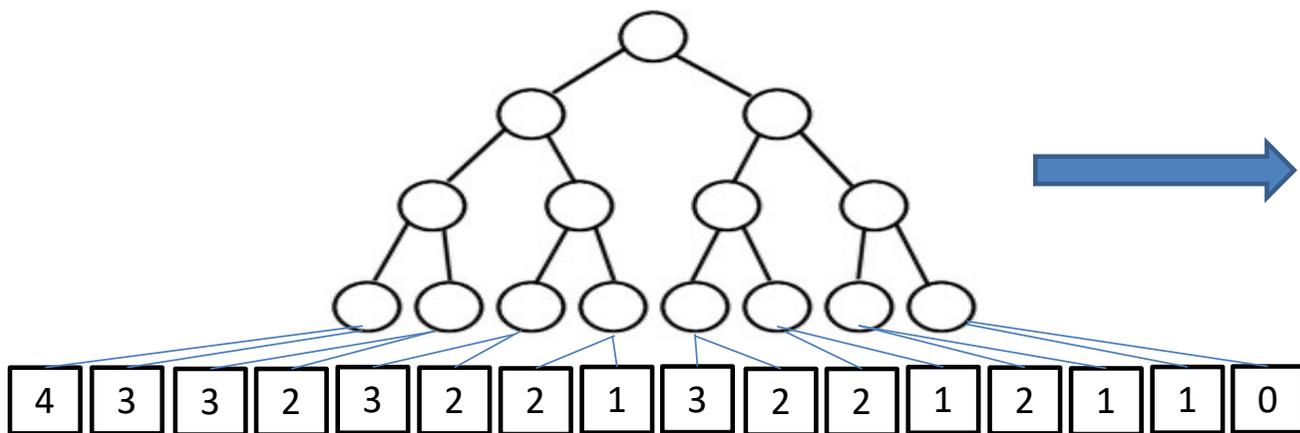
...  $A^n \rightarrow$  #Paths of Length  $n$

# Our Contributions

- **TraceSampler:** 1<sup>st</sup> dedicated algorithm + tool for uniformly sampling traces of a transition system
  - Uses Algebraic Decision Diagrams (ADDs) & enhanced iterative-squaring
  - Easily extensible to weighted sampling
- Empirical comparison to generic samplers based on SAT/CDCL
  - TraceSampler is fastest on ~90% of benchmarks
  - Solves 200 more benchmarks than nearest competitor

# Algebraic Decision Diagrams

- Data Structures for compact representation of Real-valued Boolean functions  $f: \{0,1\}^n \rightarrow \mathbb{R}$ 
  - DAGs with fixed variable order and node-sharing
  - Operations: Sum, Product, Additive Quantification ( $\Sigma$ ), ITE
- Ex:  $f = x_1 + x_2 + x_3 + x_4$



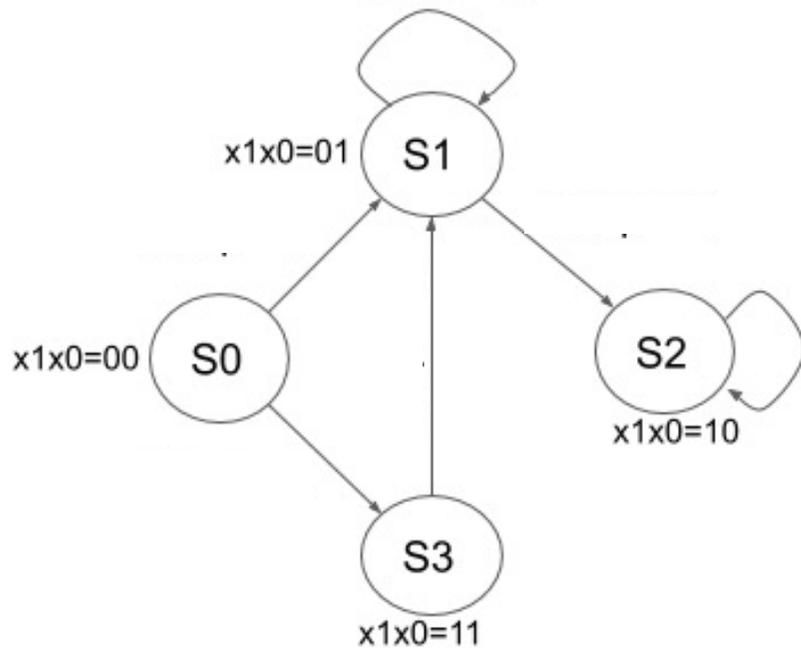
# Benefits of ADDs

- ✓ Compact and canonical
  - Naturally embody dynamic programming
- ✓ Polynomial-time operations (addition, multiplication, ITE etc)
  - Enable bottom-up compositional approach
- ✓ Smart heuristics for variable ordering
  - Scalability on real-world instances
- ✓ Fast libraries for serial and parallel computation
  - Eliminates redundant effort

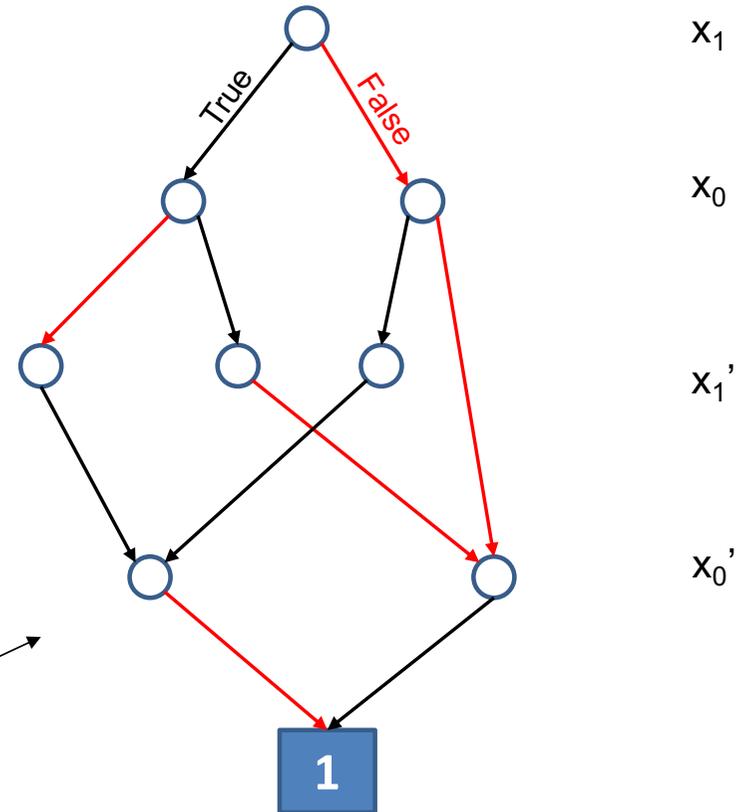
# TraceSampler: Two-Phase Algorithm

- Compilation Phase:
  - Compose n-step transition relation  $t_N$  sequentially
  - Construct  $\log N$  ADDs:  $t_1, t_2, t_4, t_8, \dots, t_N$  by iterative-squaring
    - Aggressively prune ADDs to avoid blowup
- Sampling Phase: Divide & Conquer
  - Recursively split trace while ensuring global uniformity
  - Base case: random walk on ADD from root to leaf

# Example: 1-step transition function

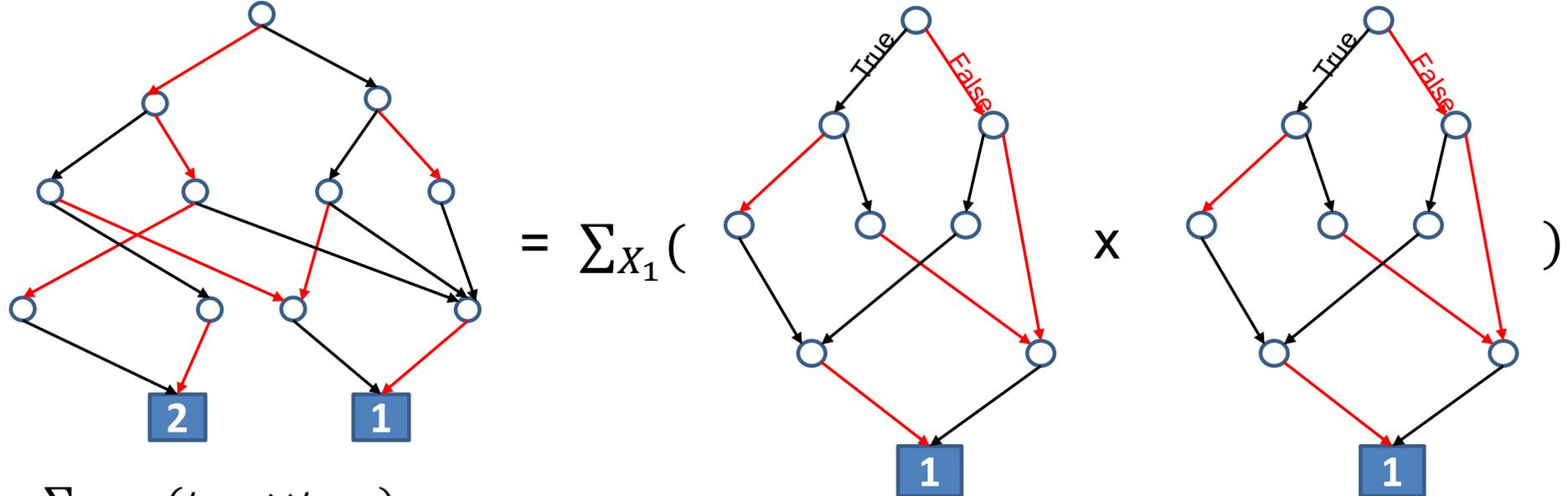


Represents 1-Step Transition Function



# TraceSampler: ADD Compilation Phase

- Iterative-Squaring:



- $t_N = \sum_{X_{N/2}} (t_{N/2} \times t_{N/2})$
- Secret Sauce:** Aggressive pruning of ADDs by *novel i-step reachability algorithm*
- Advantages:**
  - Only  $\log(N)$  ADDs necessary:  $t_1, t_2, t_4, t_8, \dots, t_N$
  - Factored forms offer significant speedup & compression [Dudek et al.'20]

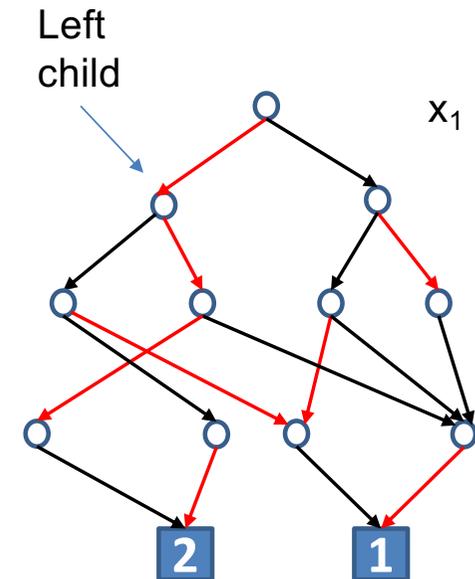
# TraceSampler: Sampling Phase

- Base case: sample states from ADD

- Weighted random walk on ADD

- Root to leaf traversal

- $\Pr[X_1 = \textit{False}] = \frac{wt(\textit{left child})}{wt(\textit{left child}) + wt(\textit{right child})}$



# TraceSampler: Sampling Phase

- Recursive Step
  - Sample state at half-way point then sample two halves independently

<b>Trace Position</b>	0	1	2	...	N/4	...	N/2	...	3N/4	...	N
<b>State</b>											

# TraceSampler: Sampling Phase

- Recursive Step
  - Sample state at half-way point then sample two halves independently

<b>Trace Position</b>	0	1	2	...	N/4	...	N/2	...	3N/4	...	N
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$\log N^{\text{th}}$  ADD:  $t_N$

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$\log N - 1$  ADD:  $t_{N/2}$

# TraceSampler: Sampling Phase

- Recursive Step
  - Sample state at half-way point then sample two halves independently

<b>Trace Position</b>	0	1	2	...	N/4	...	N/2	...	3N/4	...	N
<b>State</b>	$S_0$				$S_{11}$		$S_{10}$		$S_8$		$S_5$

$\log N - 1$  ADD:  $t_{N/2}$

# TraceSampler: Sampling Phase

- Recursive Step
  - Sample state at half-way point then sample two halves independently

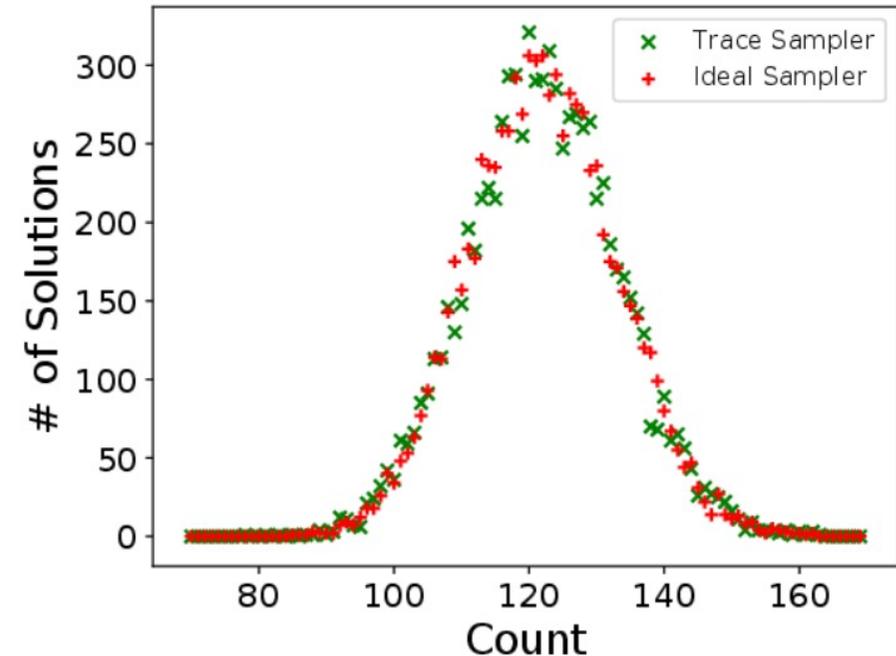
<b>Trace Position</b>	0	1	2	...	N/4	...	N/2	...	3N/4	...	N
<b>State</b>	$S_0$				$S_{11}$		$S_{10}$		$S_8$		$S_5$

$\log N - 2 \text{ ADD: } t_{N/4}$



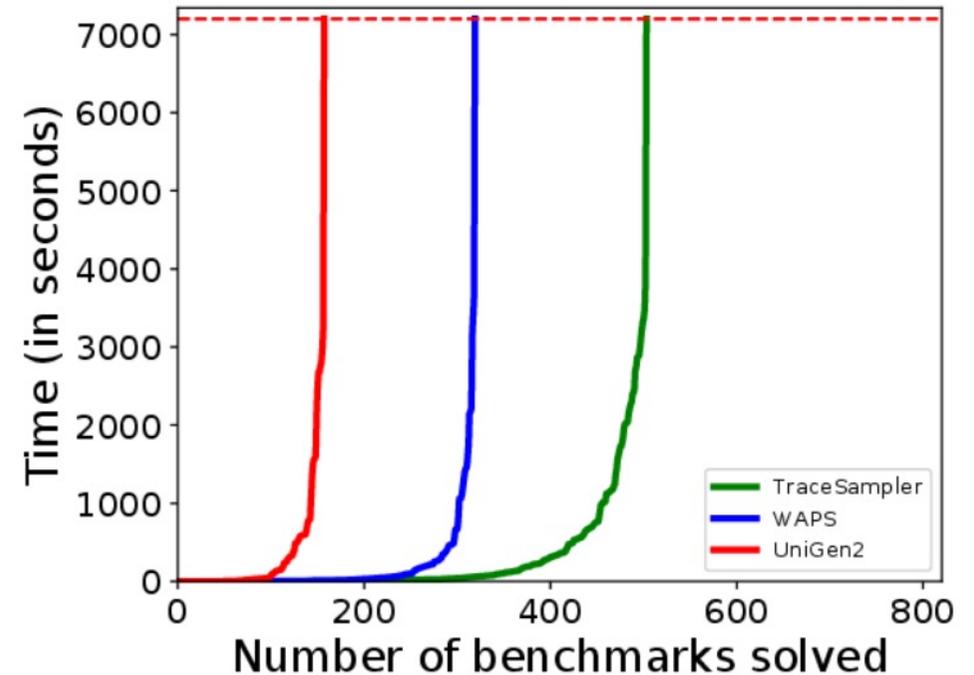
# Empirical Evaluation: Uniformity

- Sampled  $10^6$  traces from small benchmark
  - Using TraceSampler
  - Using Ideal Sampler
- **X-axis**
  - Count of how many times a particular trace was sampled
- **Y-axis**
  - Number of traces with specific count
- Distributions are indistinguishable
  - Jensen-Shannon distance: **0.003**



# Empirical Evaluation: Scalability

- **Benchmarks:** HWMCC'17, ISCAS89
- **Trace Lengths:** 2,4,8,16,...256
- **Comparison:** Encode circuits as CNF and unroll
  - **WAPS:** Exact uniform sampler [Gupta et al. '19]
  - **Unigen2:** Approximately uniform sampler
    - [Chakraborty et al. '15]
- **Results:**
  - **TraceSampler** solves **200+** more instances
  - Fastest on **~90%** instances
  - Avg. Speedup: **3x** to WAPS, **25x** to Unigen2
  - Compilation Speedup: **16x** to WAPS



# Summary and Takeaways

- **TraceSampler**: Novel ADD based algorithm for uniform / weighted sampling of traces
  - Significantly outperforms competing SAT/CDCL-based approaches
  - First prototype; more engineering effort → more scalability
  - Scope for heuristics and time-space tradeoffs
- Too early to write off Decision Diagrams?
  - ADDs vastly outperform CNF-counters for #Perfect-Matchings
    - [Chakraborty, **Shrotri**, Vardi '19]
  - Many variations not fully explored

<https://gitlab.com/Shrotri/tracesampler>

<https://cs.rice.edu/~as128/>

# References

- [Dudek et al., '20] Jeffrey M Dudek, Vu HN Phan, and Moshe Y Vardi. AAAI 2020. ADDMC: Exact weighted model counting with algebraic decision diagrams
- [Gupta et al., 19] Rahul Gupta, Shubham Sharma, Subhajit Roy, and Kuldeep S Meel. 2019. Waps: Weighted and projected sampling. In International Conference on Tools and Algorithms for the Construction and Analysis of Systems. Springer, 59–76
- [Chakraborty et al., '15] Supratik Chakraborty, Daniel J Fremont, Kuldeep S Meel, Sanjit A Seshia, and Moshe Y Vardi. 2015. On parallel scalable uniform SAT witness generation. In International Conference on Tools and Algorithms for the Construction and Analysis of Systems. Springer, 304–319.
- [Chakraborty, Shrotri, Vardi '19] "On Symbolic Approaches for Computing the Matrix Permanent." *International Conference on Principles and Practice of Constraint Programming*. Springer, Cham, 2019.

# Speaker Bio

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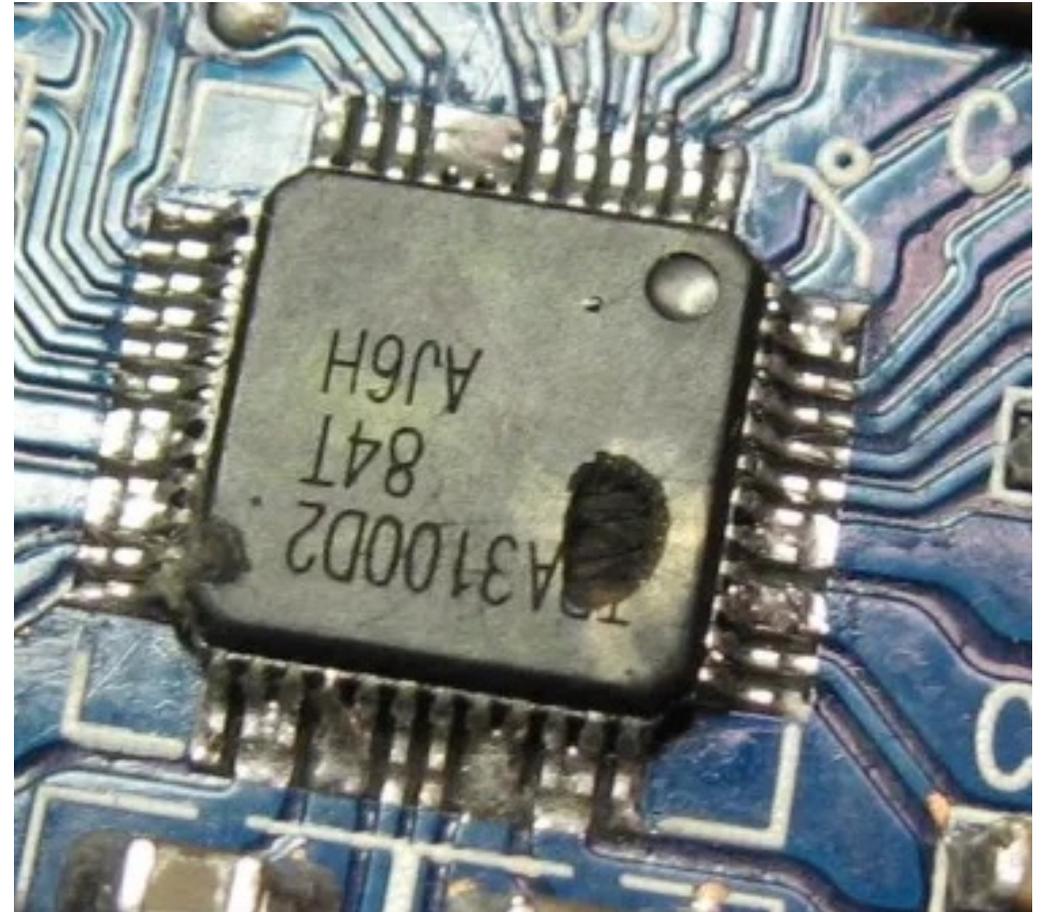
<https://www.cs.rice.edu/~vardi/>



# Backup

# Correctness of large designs

- Enormous size and complexity of modern digital systems
  - Formal verification fails to scale
- Important to catch bugs early
  - Millions of dollars spent on faulty designs
- Constrained Random Verification balances scalability and coverage



# Constrained Random Verification

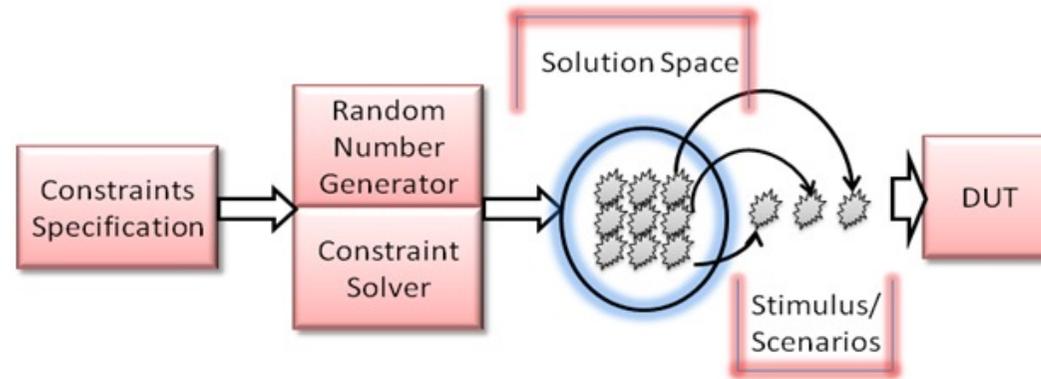


Diagram courtesy [www.testbench.in](http://www.testbench.in)

- Constraints give direction
  - User-defined constraints steer to bug-prone corners
- Randomization enables diversity
  - Inputs sampled at specific simulation steps
- Widely used in industry
  - Ex: SystemVerilog, E, OpenVera etc.

# Limitations of Existing CRV Tools

- Provide 'local' uniformity over input stimuli
- Insufficient for 'global' coverage guarantees
- *Need uniformity of system's runs or traces*